

North Broward Hospital District Board of Commissioners
1601 South Andrews Avenue, Suite 100, Fort Lauderdale, FL 33316

**COMPLIANCE AND ETHICS COMMITTEE MEETING
Immediately following the Audit Committee Meeting
Wednesday, October 25, 2023**

The Compliance and Ethics Committee Meeting of the North Broward Hospital District was held at the Broward Health Sports Medicine Building, 1601 South Andrews Avenue, Suite 100, Fort Lauderdale, FL 33316.

1. NOTICE

Official notice is attached to these minutes, titled EXHIBIT I. Agenda of this meeting is attached to the minutes, titled EXHIBIT II. Supporting documents, if applicable, are attached to these minutes, titled EXHIBIT III. These exhibits are presented for consideration of the Committee.

2. CALL TO ORDER

There being a quorum present, the meeting was called to order by Chair Nancy W. Stamper at 2:30 p.m.

3. COMMITTEE MEMBERS

Present: Commissioner Paul C. Tanner
Commissioner Levi G. Williams, Jr.
Commissioner Christopher J. Pernicano
Commissioner Stacy L. Angier
Commissioner Ray T. Berry, Vice Chair
Commissioner Nancy W. Stamper, Chair

Not Present: Commissioner Jonathan K. Hage

Senior Leadership
Additionally Present: Shane Strum, President, Chief Executive Officer
Alan Whaley, EVP, Chief Operating Officer
Alisa Bert, VP, Interim Chief Financial Officer
Linda Epstein, Corporate General Counsel

4. PUBLIC COMMENTS

Chair Stamper opened the floor for public comments, in which there were none.

5. APPROVAL OF MINUTES

5.1. Approve Meeting Minutes dated July 26, 2023

Without objection, Chair Stamper approved the minutes, dated July 26, 2023.

Motion *carried* without dissent.

6. TOPIC OF DISCUSSION

- 6.1. Fiscal Year 2024 Compliance Work Plan - Status Update (Presenter – Judy Ringholz, Interim Chief Compliance Officer)

Ms. Ringholz shared the Fiscal Year 2024 Compliance Work Plan status update covering the following areas of focus:

1. Policies, Procedures & Standards of Conduct
2. Compliance Leadership & Compliance Committees
3. Compliance Training and Education
4. Effective Lines of Communication
5. Monitoring and Auditing
6. Enforce Standards Through Discipline
7. Respond Promptly to Reports of Potential Compliance Issues; Investigate and Resolve

- 6.2. Quarterly Compliance Training: The Role of Boards in Healthcare Compliance (Presenter – Judy Ringholz, Interim Chief Compliance Officer)

Ms. Ringholz spoke to “The Role of Boards in Healthcare Compliance” video which Ms. Ringholz will share with Commissioners to view.

For further detail, related slides are available within the October 2023 Compliance and Ethics Committee Meeting book on the Board of Commissioners’ webpage.

7. ADJOURNMENT

There being no further business on the agenda, the Chair adjourned the meeting at 2:44 p.m.

Respectfully submitted,
Commissioner Nancy W. Stamper